

## Expectation Reasoning and Agent's Observations

Binh Vũ Trần ([tvubinh@cs.rmit.edu.au](mailto:tvubinh@cs.rmit.edu.au))  
 James Harland ([jah@cs.rmit.edu.au](mailto:jah@cs.rmit.edu.au))  
 Margaret Hamilton ([mh@cs.rmit.edu.au](mailto:mh@cs.rmit.edu.au))

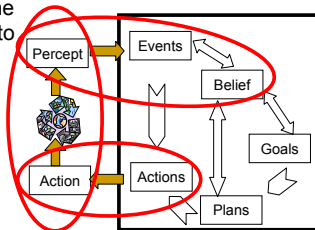
## Where should I fly?



- An eagle chasing a sparrow in a cave system usually faces different options where a decision needs to be made. Questions such as
  - Should I follow this path or stay in this cave?
  - I cannot see the sparrow here, should I fly to the next cave or go back?
 need to be answered.

## BDI Agent vs. Environment

- Representation of the real world structure to assist reasoning
- The ability to make assumption when information is unavailable



(Winikoff, 2001)

## Why Observation?

- Observation: "An act of seeing or of fixing the mind upon anything"
  - Not only obtaining information through sensors
  - But also a **process – observation method** – that uses effectors to position sensors or other effectors
- Such process can be nested and hence hierarchical

## Why Observation (cont.)?

- Why not "perception"?
  - Only recognition and interpretation of sensory stimuli
  - Do not explicitly consider sensory settings
- Why not "action"?
  - Only about the effects produced
  - Missing the link of how an effect is captured by a sensory stimulus

## Observation Reasoning

- World structure is captured using
  - Sensors: which directly bring the images of an environment to the agent's mind
  - Effectors: which **position** the agent and its sensors in the environment
  - Methods: which determine how sensors and effectors should be used together
- Observation reasoning: Reasoning about how to capture the world structure through observations

## Why Agent Should Make Assumptions?

- Due to the agent's limited capabilities
  - Cannot always make observations
  - Cannot make observations simultaneously
- Assumptions are used
  - To delay justifications by direct observations
  - To incorporate with others to recover simultaneity view

## Reasoning with Assumptions

- **Transparency:** Assumptions should utilise the same processes as observation reasoning
- **Saliency:** Assumption should elicit the same behaviour response as equivalent physical concept

## Why Expectation?

- Expectation
  - "is a mental act and has always a reference to ... some coming event."
  - A mental state associated directly with an observation. Hence, experience-oriented.
  - Unavailable observation can be postponed and verified later. Expectation enables an agent to make assumption.

## Why Expectation (cont.)

- Why not knowledge?  $K\psi \Rightarrow \psi$ 
  - Locally resource-bounded cannot be perfectly synchronised with the environment.
  - Changes in the environment can be much faster or slower than the agent's capabilities of capturing them.
- Why not belief?
  - Justification problem to classify true/false beliefs

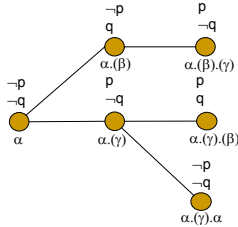
## Expectation Reasoning

- Concerns about
  - The results of observations
  - The process of observations and substitutions of assumptions by ground observation
- Reason about expectation violations
  - Grounding error: once assumptions cannot be justified using the given substitutions
  - **Difference in values:** true and false

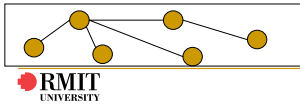
## Agents in Observation System

- An agent's set of sensors and effectors is fixed
- Observation can be extended by incorporating sensors and effectors but must be rooted to the set of sensors
- By taking further observations, the agent associates its expectation with the observations
- Hypothetical effect is justified by a sensory information
- Every observation in a sequence must be well-defined to be a strong observation sequence.

## Observation-Expectation System – An Overview



- $\alpha$ : look
- $\beta$ : flap
- $\gamma$ : turn head
- p: sparrow
- q: dark



## Observation Methods

- Linear observation method: is a sequence  $\sigma$  of observations where
  - $\zeta$ , the observation from innate set of sensors is an observation sequence
  - If  $\sigma$  is a sequence then so are  $\sigma.\eta$  and  $\sigma.(\eta)$  where  $\eta$  from sensors,  $(\eta)$  from effectors
- Given a free set of assumptions  $\Psi = \{x, y, \dots\}$ 
  - If  $\sigma$  is a sequence then so is  $\sigma.x$  but not  $\sigma.x$

## Observation Interpretation – Possible Worlds Mapping

- Observation interpretation function  $l: \Gamma \rightarrow G_i \cup \{\perp\}$
- Conditions
  - Justification:  $l(\sigma.\eta) = l(\sigma.\eta)$
  - Individuality:  $l(\eta) \in G_i, \forall \eta \in S_i$
  - Expectability:  $\tau = \sigma.\eta, l(\sigma) \in G_i, l(\tau) \in G_i$  iff  $l(\sigma) \sim_e l(\tau)$
  - Entireness: if  $l(\tau) = \perp$  for some  $\tau = \text{prefix}(\sigma)$  then  $l(\sigma) = \perp$

## Semantics – Expectation Model

- Observation Naming:  $N: \Xi \rightarrow \Gamma$
- Expectation language:
 
$$wff ::= s \mid p \mid \neg\phi \mid \phi \wedge \psi \mid \phi \vee \psi \mid \phi \Rightarrow \psi \mid \langle E_i \rangle \phi \mid [E_i] \phi \mid @_s \phi$$
- Kripke model  $M = \langle G_i, \sim_e, \pi \rangle$ 
  - Expectation function  $\pi: \Xi \cup \Phi \rightarrow \wp(G_i)$
  - $\langle M, g \rangle \models s$  iff  $\pi(s) = \{g\}$  for all  $s \in \Xi$
  - $\langle M, g \rangle \models @_s \phi$  iff  $\langle M, g_s \rangle \models \phi$  where  $g_s$  is a denotation of  $s$

## Inference Rules – KE System

$$\frac{@_s \phi \vee \psi}{@_s \phi} \quad \frac{=@_s \phi \vee \psi}{=@_s \phi} \quad \frac{\neg @_s \phi \wedge \psi}{@_s \phi} \quad \frac{@_s \phi \wedge \psi}{@_s \psi}$$

$$\frac{@_s \phi \Rightarrow \psi}{@_s \psi} \quad \frac{@_s \phi \Rightarrow \psi}{=@_s \psi} \quad \frac{=@_s \phi \Rightarrow \psi}{=@_s \psi} \quad \frac{@_s \neg \psi}{=@_s \psi} \quad \frac{\neg @_s \neg \psi}{=@_s \psi}$$

$$\frac{@_i @_s \psi}{@_i \psi} \quad \frac{\neg @_i @_s \psi}{\neg @_i \psi} \quad \frac{@_s \perp}{@_s} \quad \frac{@_i \perp @_s \psi}{@_i \psi} \quad \frac{@_s (E) \psi}{@_s (E) a} \quad \frac{\neg @_s (E) \psi}{\neg @_s (E) a}$$

(D'Agostino 1994)

## Expectation Violations and Inference Rules

$$\frac{}{@_i \psi \mid \neg @_i \psi}$$

- Difference in values
  - Based on the Principle of Bivalence: every proposition is either true or not true.
  - Hence, if the original expectation is satisfiable then any unexpected observation should not invalidate the expectation.
- Grounding error

## Observation Model Construction Steps

- Finding equivalent class of observation sequences
- Building up accessibility relation between equivalent classes by adding or removing observations
- Valuation function returns all equivalent classes that a proposition can be observed.

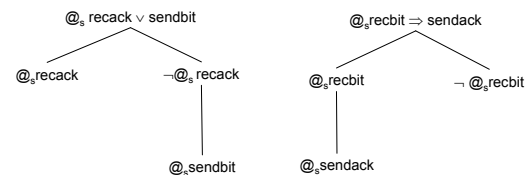
## Case study: Bit transmission problem

- System settings
  - A sender A
  - A receiver B
  - A communication medium C
- It is trivial if A, B, and C are all reliable.
- Any fault with any combination of A, B, C requires a new protocol to guarantee delivery

## Case 1: Communication Line Unreliability

- Communication line is faulty which can drop message (but not flip the bit)
- Simple protocol:
  - A sends its bit until receives an acknowledgment
  - B sends acknowledgment when receives a bit

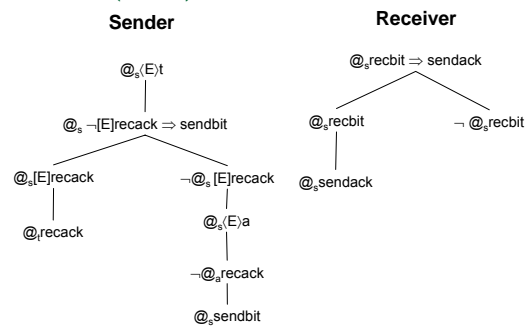
## Case 1 (cont.)



## Case 2: Communication Line Unreliability

- Communication line: delivery time is delayed after a **fixed period k**, a message can be dropped
- A protocol can be defined with some additional information to construct the protocol
  - $|t| = |s| + k$
  - $|a| = |s| + k + 1$

## Case 2 (cont.)



## Coordinated Attack Problem (Gray, 1978)

- Two divisions of an army camped on two hilltops overlooking a valley with the enemy awaiting.
- Both divisions must attack the enemy simultaneously to win the battle.
- The only means of communication is by messengers
- It takes 1 hour to deliver a message.

## Impossibility of Coordinated Attack

- Yemini & Cohen (1979), Halpern & Moses (1990) shows impossibility of the problem for the strong requirement: "The coordinated attack must be **simultaneous**"
- Dilemma:
  - Common knowledge must involve simultaneous change to achieve coordination
  - Simultaneity cannot be attained in practice

## Towards Agent Coordination

- Common Knowledge
  - Eventual Common Knowledge
  - Time-stamped Common Knowledge
- Common Expectation
  - Ability to delay justification
  - Naming mechanism allows representation like timestamps

## Towards a Flexible Protocol Specification

- An expectation violation occurs, the principle of bivalence is applied. Flexibility of the model construction depends on this.
- A fault-tolerant protocol is the one that is independent of PB application.

## Other Concepts Related to Expectation

- Commitment
- Obligation
- Reputation/Trust
- Confidence
- Intention

## System Characteristics

- Ground expectation reasoning system is decidable.
- Observation layer allows representation of observations in agent's control and other observations from the environment.
- An infrastructure for a scalable system.

## Further work

- Expectation Interpreter/Reasoner Implementation
- Observation method construction
- Combining observation methods in MAS using fibring analytic tableaux
- Agent cooperation based on common expectation

## Thank you

